



# Some Performance Limits in Imaging and Image Processing

Peyman Milanfar\*

EE Department

University of California, Santa Cruz

[milanfar@ee.ucsc.edu](mailto:milanfar@ee.ucsc.edu)

<http://www.soe.ucsc.edu/~milanfar>

\*Joint work with Dirk Robinson, Morteza Shahram, and Sina Farsiu,  
Michael Elad, Ali Shakouri



# Motivation

- “The main focus of the workshop will be the analysis of image or image-like data with a view to the rigorous analysis of data from scientific experiments.”
  - Estimation of Motion
  - Resolution Enhancement
  - Edge Detection



# Topic I: Motion Estimation



# Motion Estimation: Where are we?



“After some 20 years work on motion estimation, I think we know what we’re doing”

-David Fleet (PARC)

Milanfar et al. EE Dept, UCSC

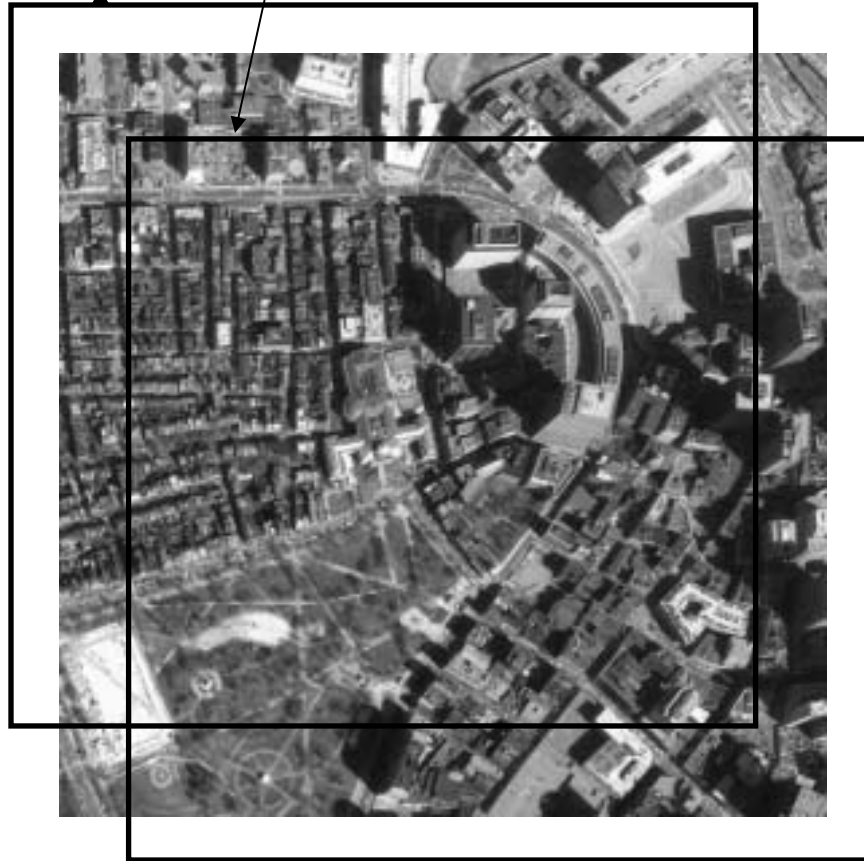


# Motion Estimation: A Model

- Signal Model

$$f_1(x, y) = f(x, y) + n_1(x, y)$$

$$f_2(x, y) = f(x - v_x, y - v_y) + n_2(x, y)$$





# Statistical Solution: Maximum Likelihood

- Correlation methods

$$\max_{v_x, v_y} \left( \sum_{x, y} f_1(x - v_x, y - v_y) f_2(x, y) \right)$$

- Nonlinear Least Square:

$$\min_{v_x, v_y} \sum_{x, y} (f_1(x - v_x, y - v_y) - f_2(x, y))^2$$

- Improving to subpixel accuracy:
  - Fits a quadratic about the peak of the correlation surface.
  - Gauss-Newton methods, iterated improvement,
    - iterating over scale: pyramid-based methods



# The Optical Flow Method

- Optical Flow Equation (Linear Least Squares)

$$\frac{d}{dt} f(x, y, t) = f_x \cdot v_x + f_y \cdot v_y + f_t = 0 \quad (\approx \nabla f^T v + f_1 - f_2)$$

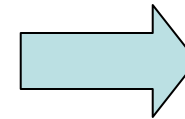
Image Sequence



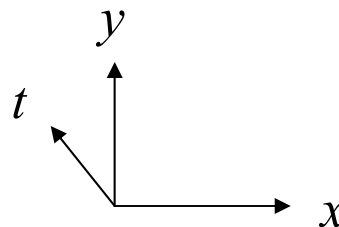
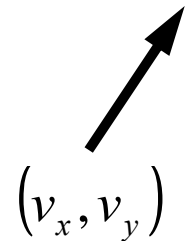
One Block



Least Squares  
+ Smoothness



Motion  
Vector





# Performance Limits

- Fisher Information Matrix (Assume GWN)

$$I(v_x, v_y) = \frac{1}{\sigma^2} \begin{bmatrix} \sum_{x,y} f_x^2(x-v_x, y-v_y) & \sum_{x,y} f_x f_y(x-v_x, y-v_y) \\ \sum_{x,y} f_x f_y(x-v_x, y-v_y) & \sum_{x,y} f_y^2(x-v_x, y-v_y) \end{bmatrix}$$

- Bound on the mean-squared error

$$Q = E[(v - \hat{v})(v - \hat{v})^T] \geq I^{-1}(v_x, v_y) = J$$

$$Q_{11} = E[(v_x - \hat{v}_x)^2] \geq J_{11}$$

$$Q_{22} = E[(v_y - \hat{v}_y)^2] \geq J_{22}$$



# How close to the limit?

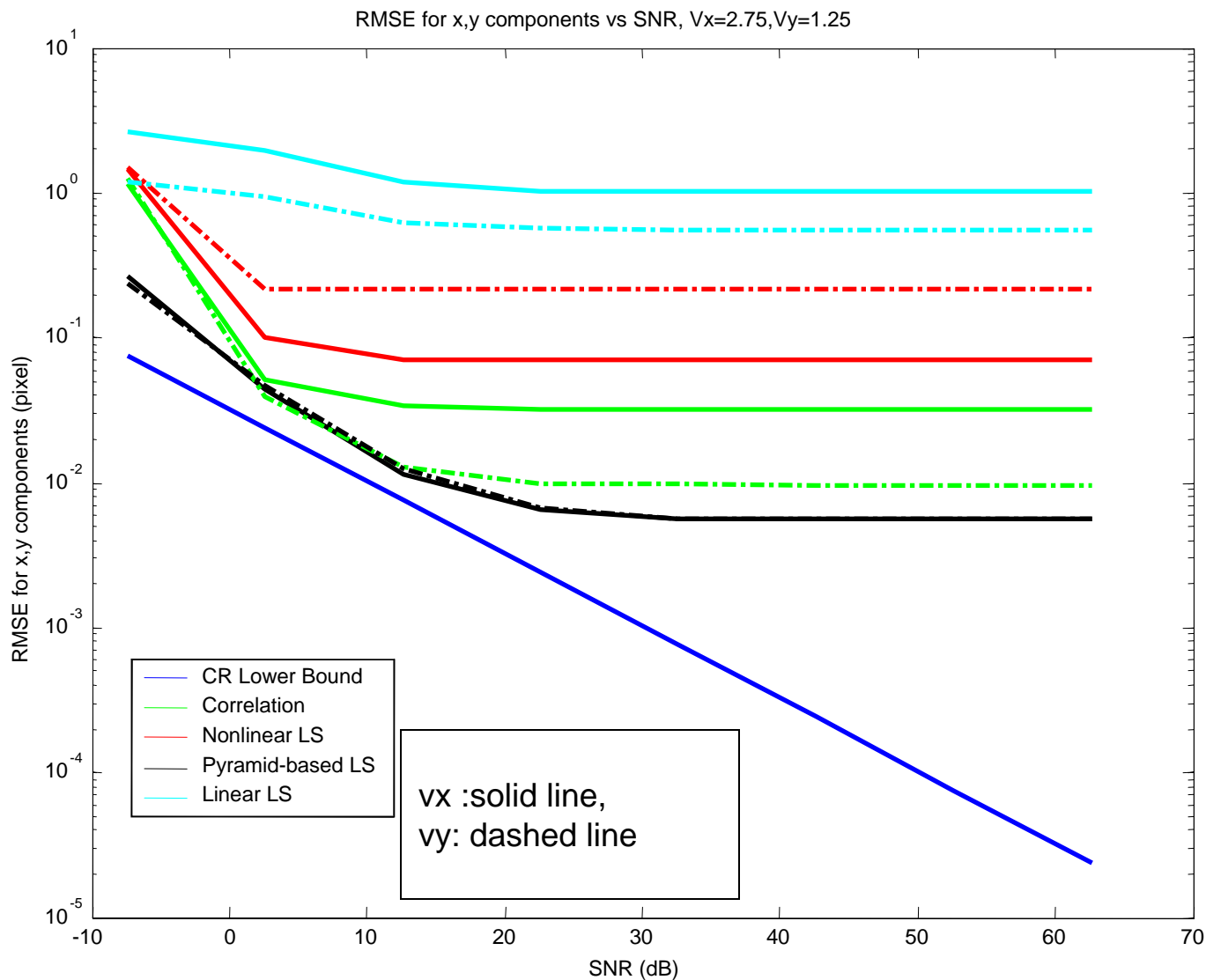
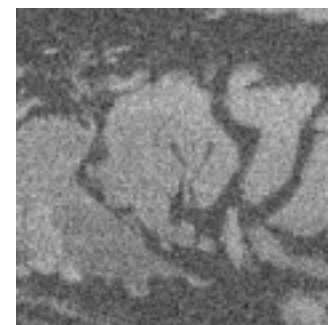


Image used:

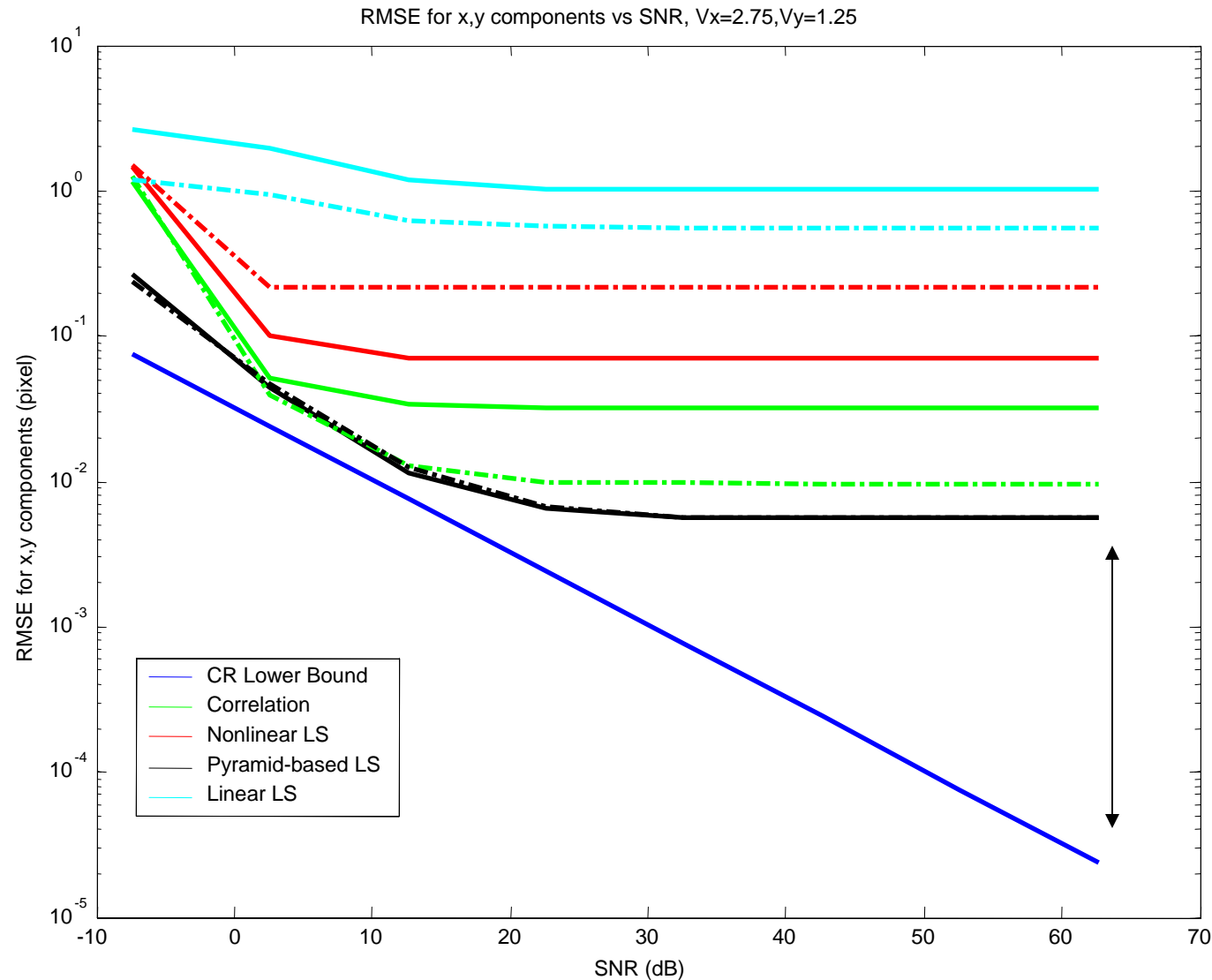


At 3 dB:





# What happens at high SNR?



Bias!



# Performance vs Image content

face



forest



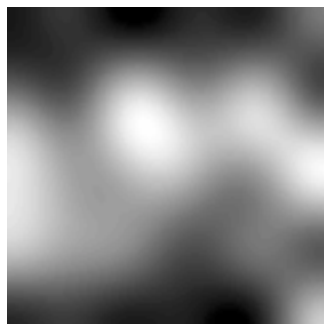
lab



tree



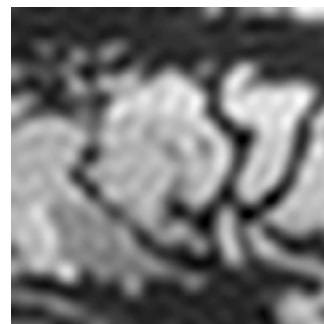
## Image as % of Full Bandwidth



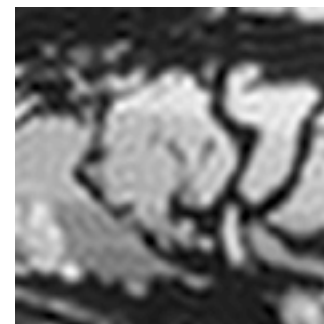
4%



12%



20%

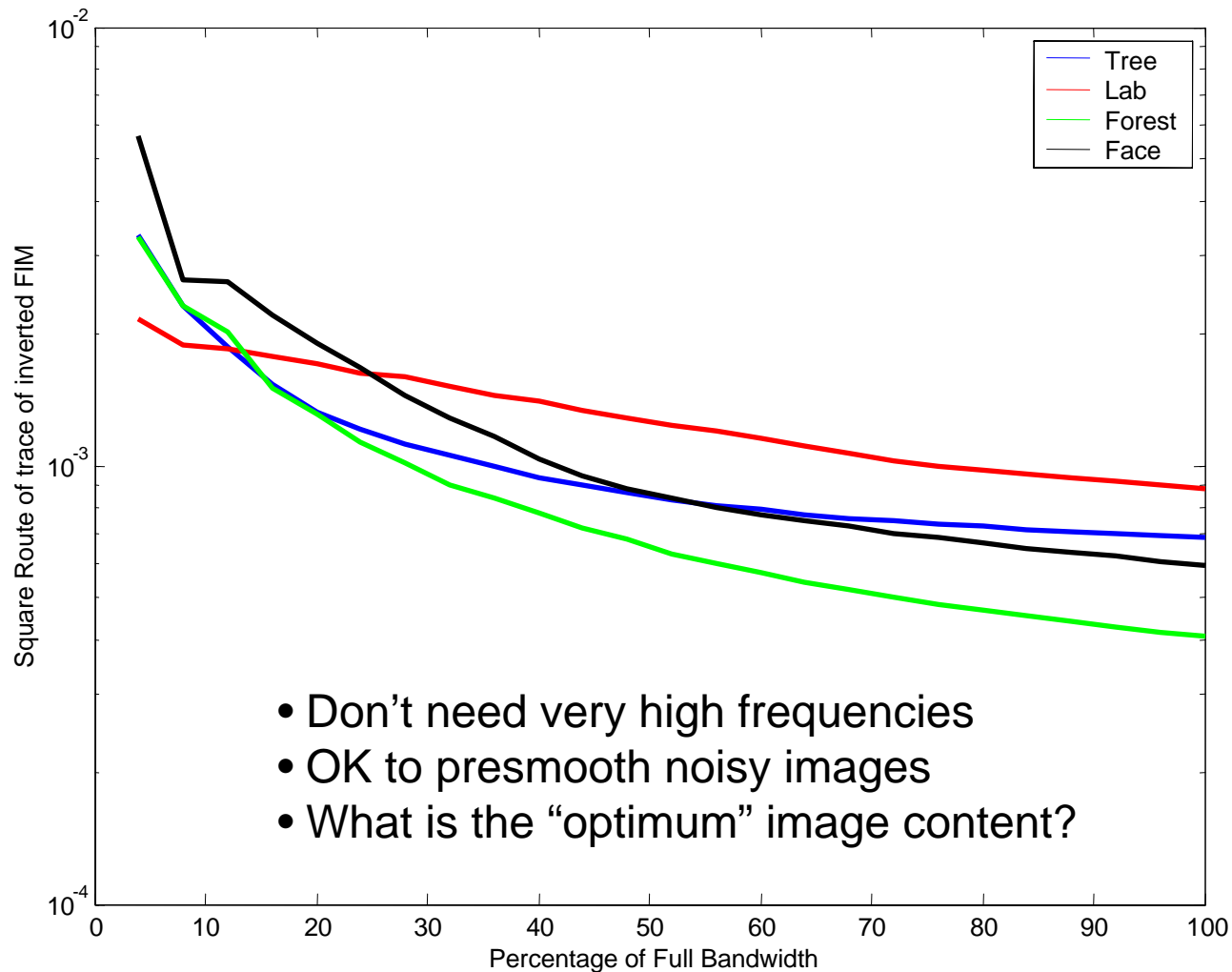


28%



# Performance vs Image content

Sqrt of Trace of J



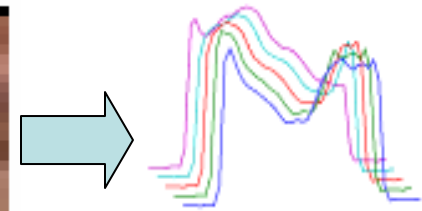


# Can the limitations be overcome?

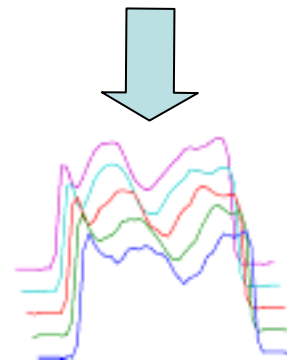
Image Sequence



One Block



90° Pixel Sum



0° Pixel Sum (projection)

$$\begin{pmatrix} v_x & v_y \end{pmatrix} = v$$



# Qualitative Comparison

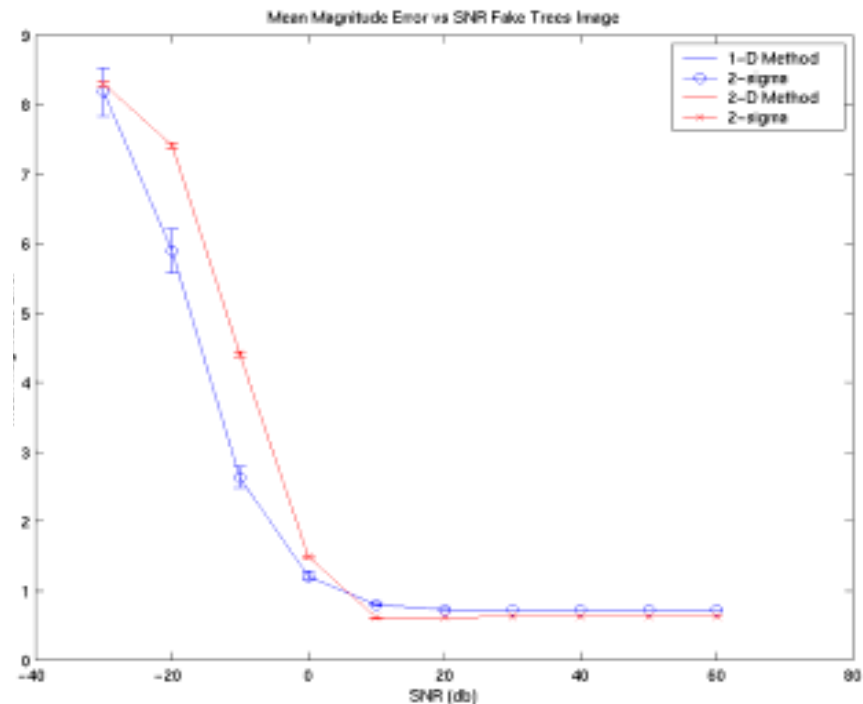
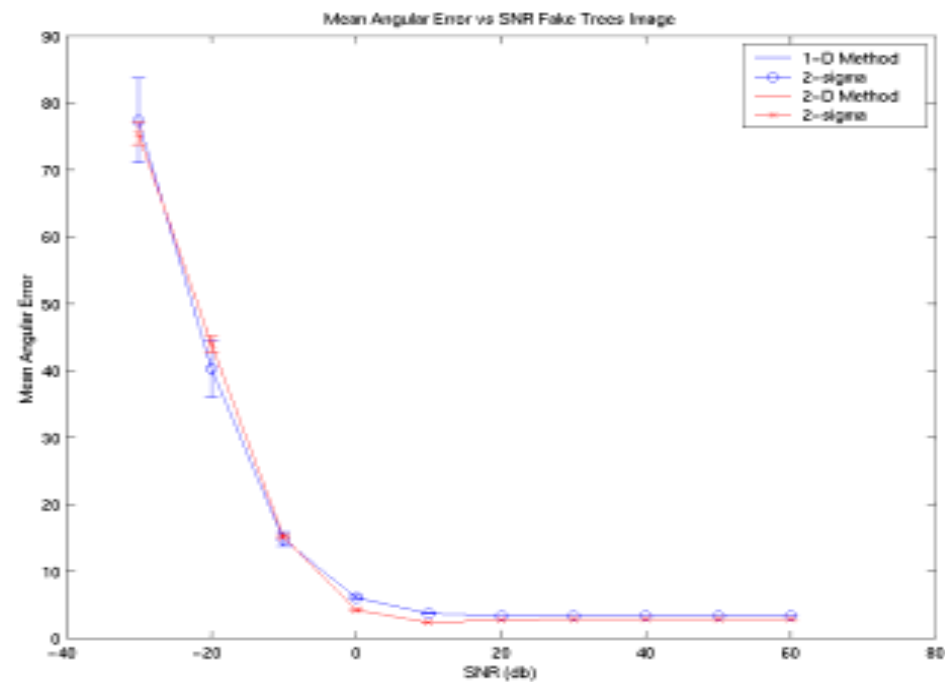
- Performance:
  - In most cases, performance of 2-D and 1-D methods are within 5% of each other (mean magnitude or angular error)
- Complexity:
  - Projection-based an order of magnitude faster
- Surprising fact:
  - Projection can *improve* performance.



# Quantitative Comparison

Mean angular error vs. SNR

Mean magnitude err. vs. SNR



**1-D Method better at low SNRs**



# Why Improvement?

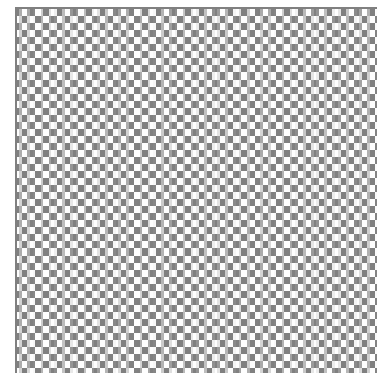
- Interference Rejection



+



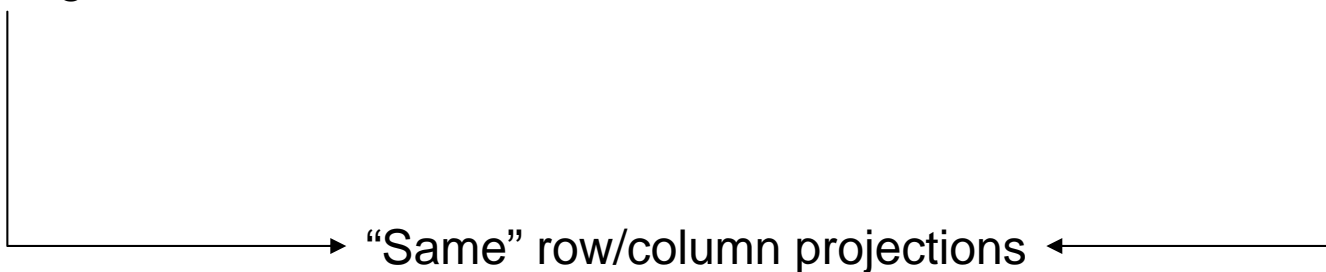
=



Moving

Still or known motion

Sum







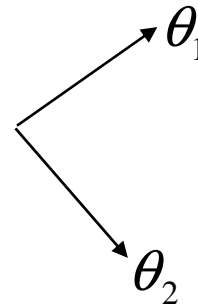
# Why Improvement?

- 2-D Spectrum vs. 1-D Spectrum
  - Projection - Slice Theorem:
    - $f \rightarrow$  2-D Fourier Transform  $\rightarrow$  Slice @ angle  $\theta$
    - $f \rightarrow$  Projection sum at  $\theta \rightarrow$  1-D Fourier Trans.
- Smoothness
  - Radon transform of  $f$  is  $\frac{1}{2}$  degree smoother than  $f$ .
- Reduced Bias



# Optimal Projection Angles

- Goal: Find the set of projection angles that minimizes mean-square error.
- Partial solution: Find a pair of orthogonal directions where the product of “power” in the derivative of projections is largest. (What about the bias?)





# Topic I: Summary

- Limits to how well motion can be estimated.
  - Existing methods should be measure against these limits.
- Bias-variance tradeoff in motion estimation.  
What is best?
  - Bias is hard to characterize
- What are “best” image patterns for motion estimation?

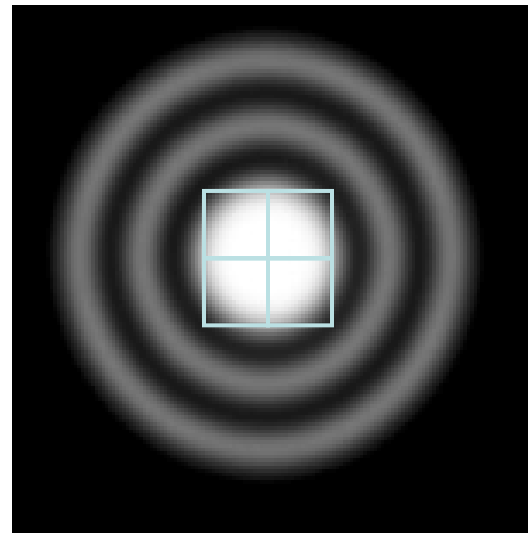


# Topic II: Resolution Enhancement



# Why Resolution Enhancement?

- To obtain an alias-free, “diffraction limited” image we need 4 pixels covering the Airy disk:



- That is: radius of the Airy disk must match the pixel dimensions.



# Resolution Enhancement Idea

- Given multiple low-resolution moving images of a scene (a video), generate a high resolution image (or video).



$\underbrace{\text{frame}_1, \text{frame}_2, \dots, \text{frame}_{N-1}, \text{frame}_N}_{\text{High Resolution Frame}}$

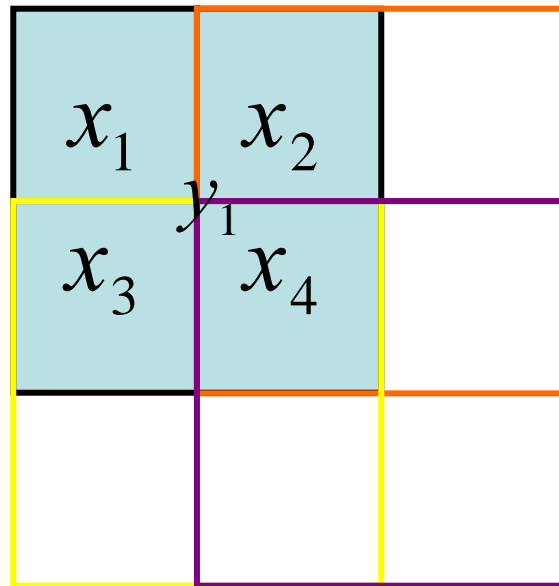
$\underbrace{\text{frame}_1, \text{frame}_2, \dots}_{\text{High Resolution Frame}_1}, \underbrace{\dots, \text{frame}_{N-1}, \text{frame}_N}_{\text{High Resolution Frame}_2}$

“Trading off time resolution or view diversity to gain spatial resolution”



# Resolution Enhancement Model

- A simple model relating the low-resolution blurry image to the high resolution crisper image.



"PSF"

$$y_1 = a_1 x_1 + a_2 x_2 + a_3 x_3 + a_4 x_4 + e_1$$

$$y_2 = 0 \cdot x_1 + a_2 x_2 + 0 \cdot x_3 + a_4 x_4 + e_2$$

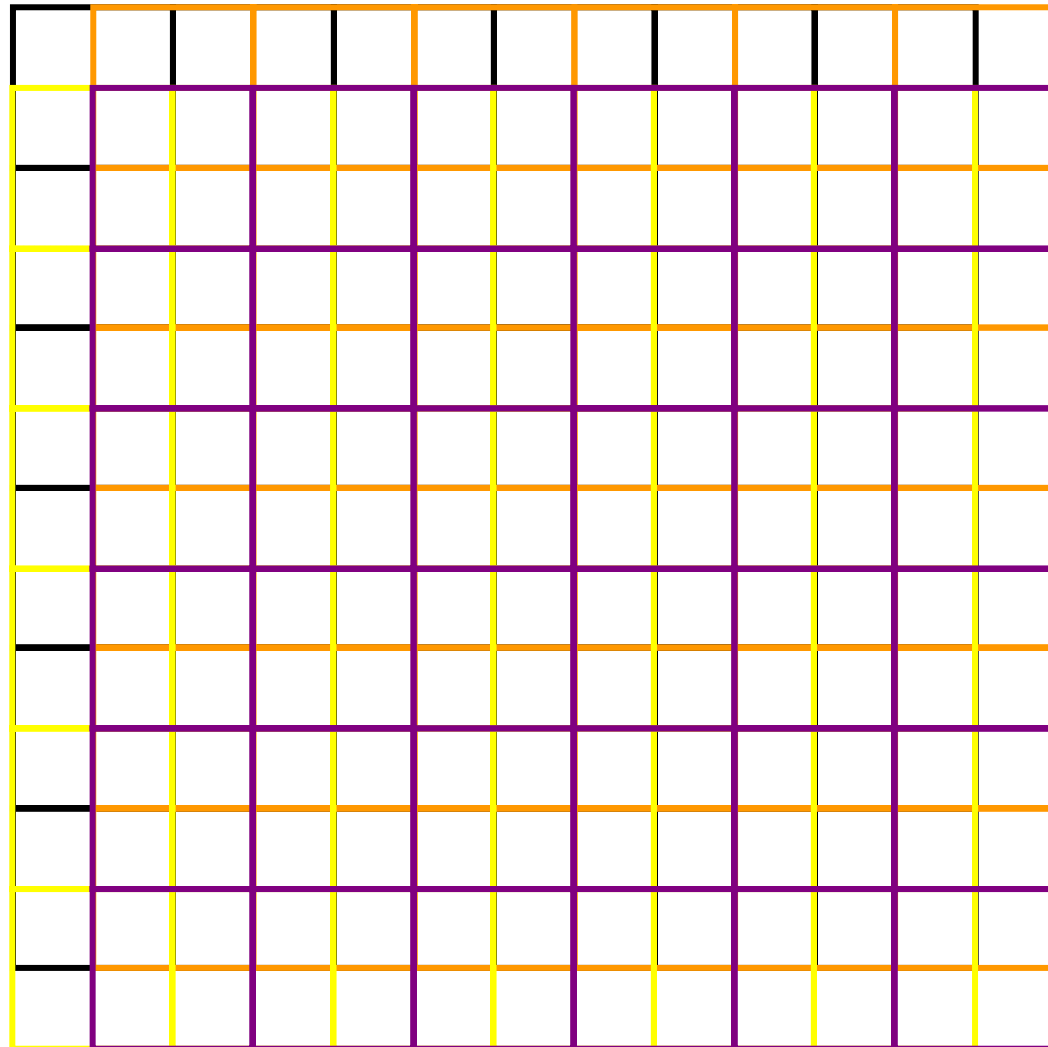
$$y_3 = 0 \cdot x_1 + 0 \cdot x_2 + a_3 x_3 + a_4 x_4 + e_3$$

$$y_4 = 0 \cdot x_1 + 0 \cdot x_2 + 0 \cdot x_3 + a_4 x_4 + e_4$$



# Low vs High Res Pixels

x2 enhancement







# The Mathematical Model

$$\text{k-th frame} \longrightarrow \underline{y}_k = A_k \underline{x} + \underline{e}_k \quad \text{for} \quad 1 \leq k \leq p$$

$$A_k = \underset{\substack{\nearrow \\ \text{Downsampling}}}{D} \underset{\substack{\nearrow \\ \text{Blurring}}}{C_k} \underset{\substack{\nwarrow \\ \text{Warping}}}{W_k}$$

$$A_k = [T_{k,1} \quad T_{k,2} \quad \cdots \quad T_{k,l^2}]$$

↑  
Upper-banded, "nearly" Toeplitz

$$\text{BTTB system} \longrightarrow y = Ax + e$$

- The system is typically underdetermined and ill-conditioned.
- 10's or 100's of thousands of unknown variables and data.
- **Warping (motion), must be estimated!**



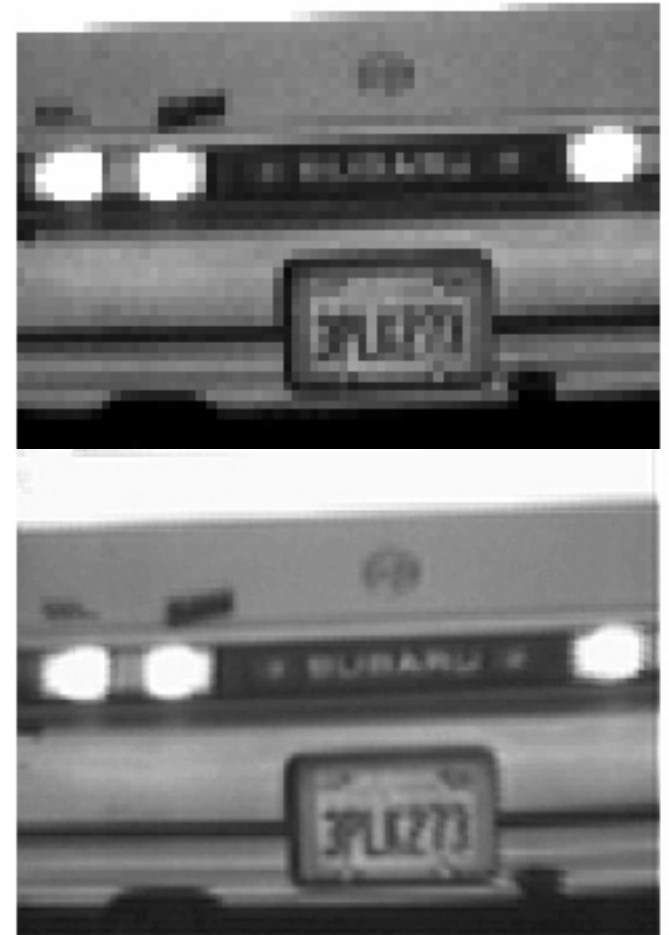
# Some real examples:



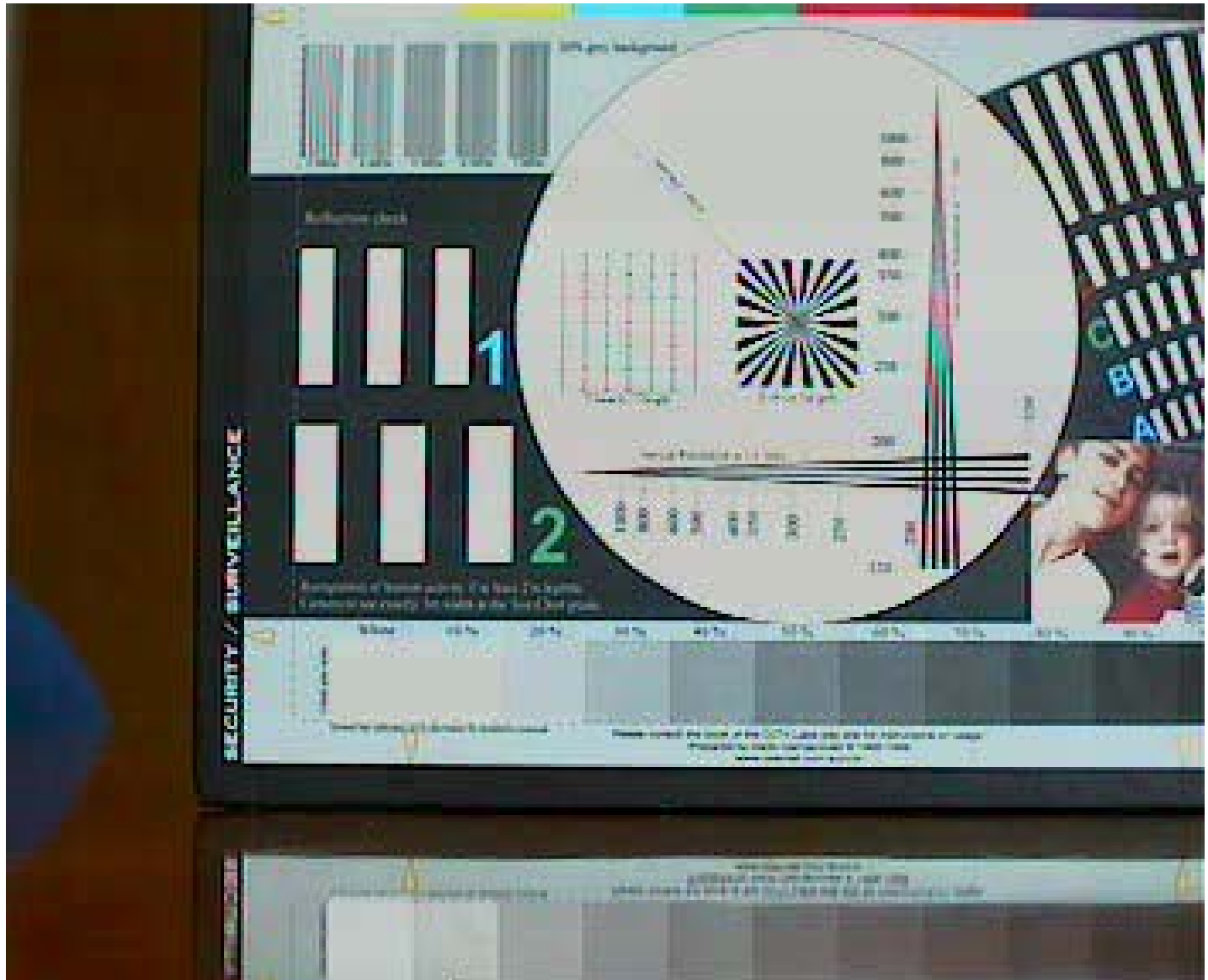
Infrared Camera (Night Vision)



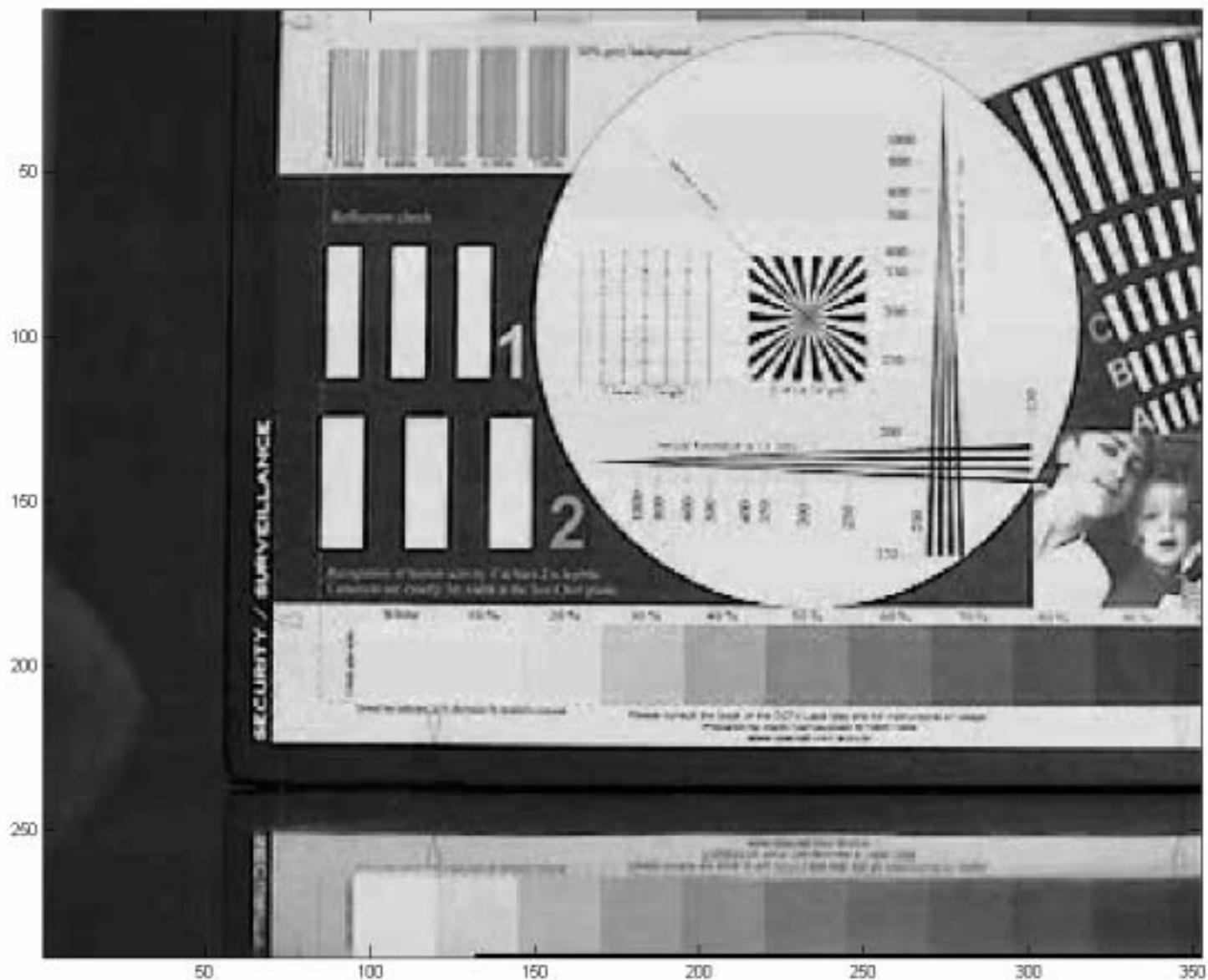
# License Plate Reading



Digital Video Camera from 2<sup>nd</sup> story window

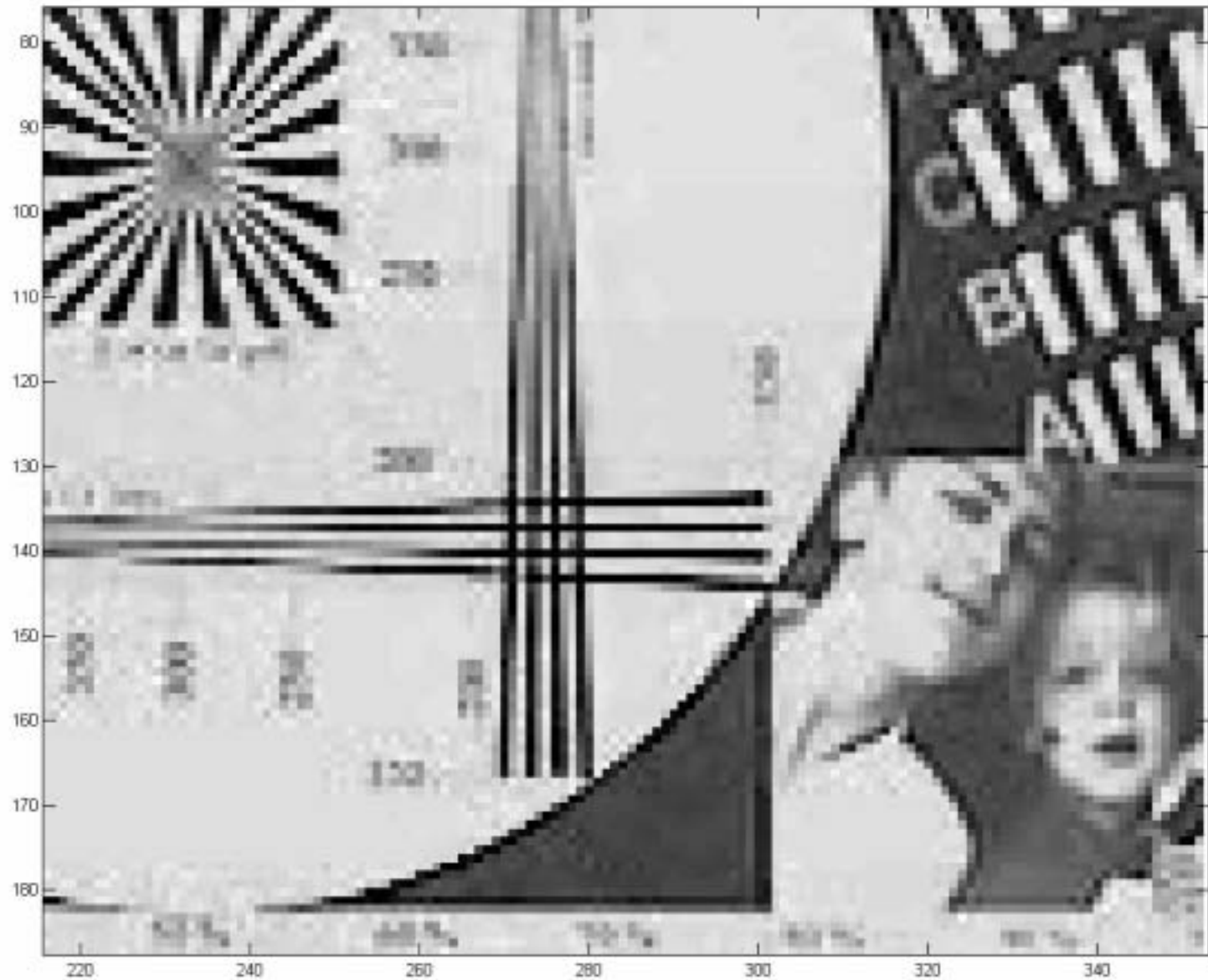


# Before

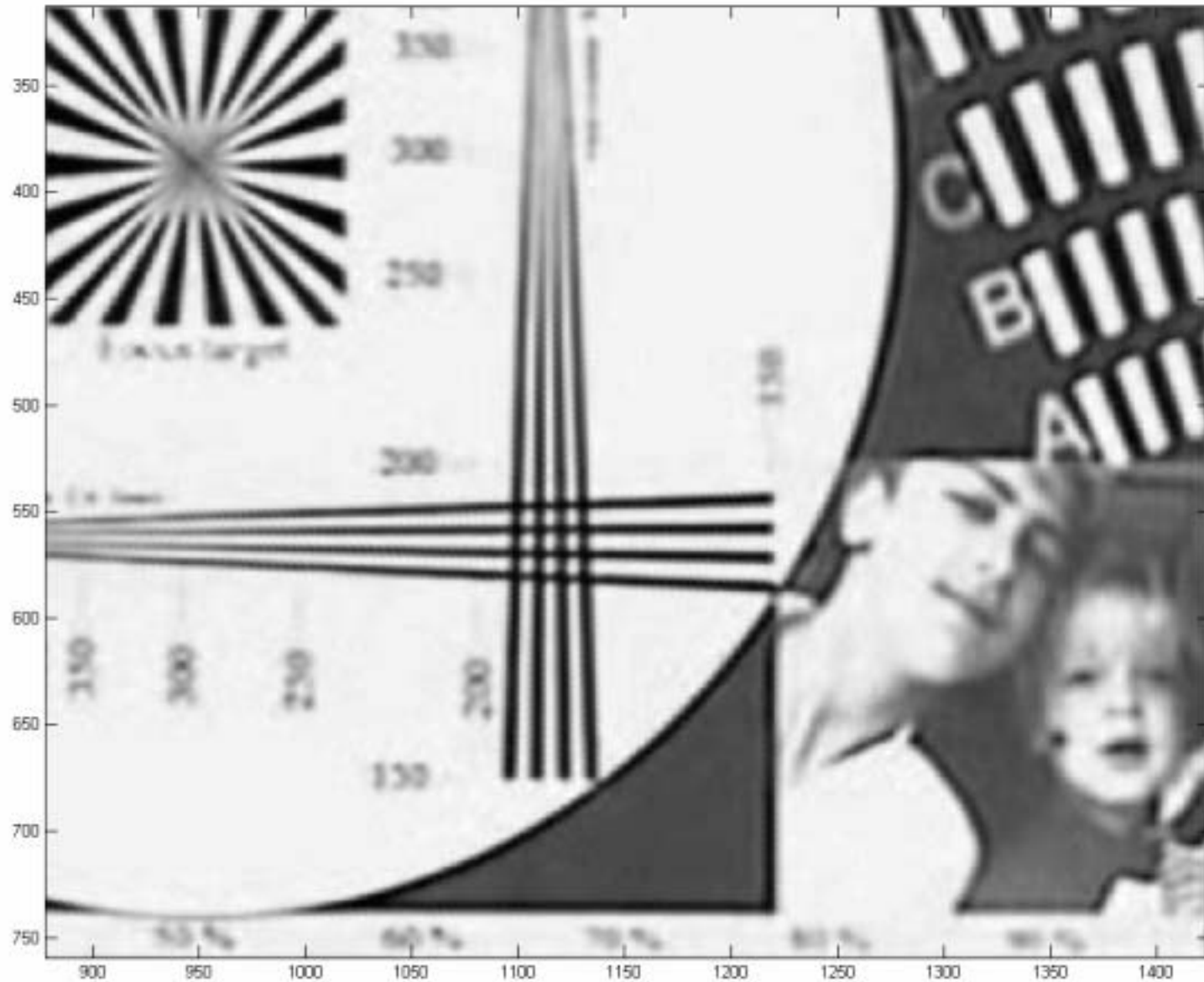




## Detail Before



## Detail After







MPEG Surveillance Video







# What are the limits to enhancement?

- Motion Estimation Accuracy
- Model Accuracy
- **Sensor Noise**
- **Rayleigh Limit?**



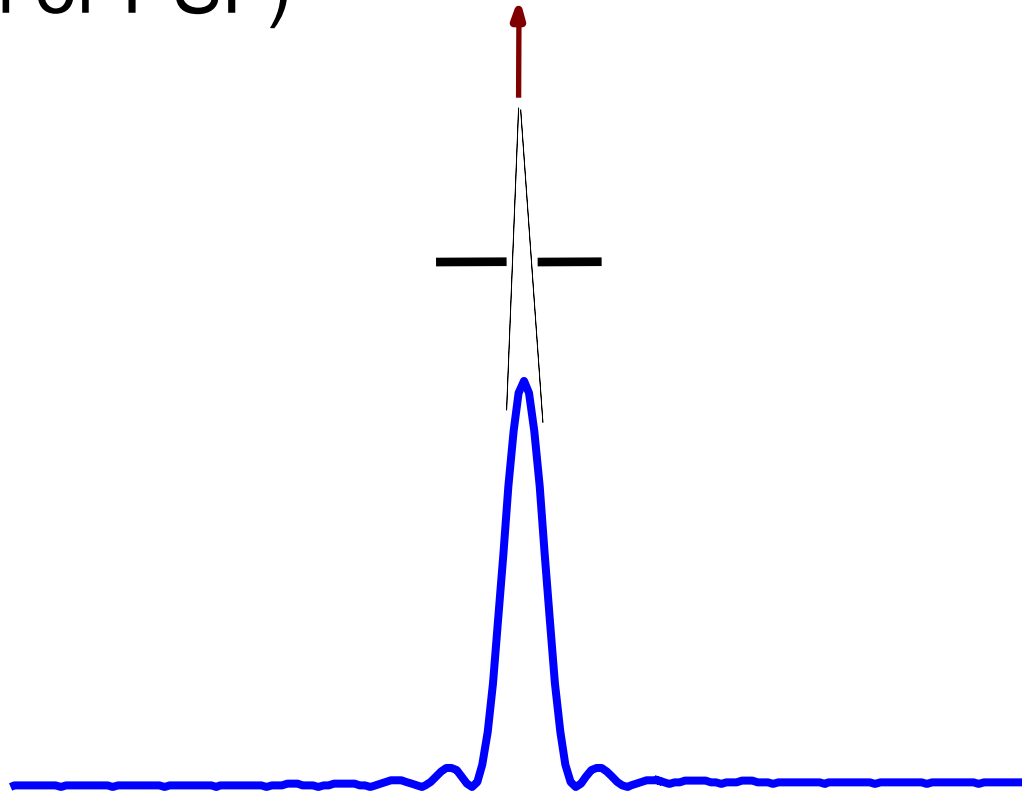
# A Case Study

- Incoherent imaging of two closely-spaced point sources
- Statistical definition of resolution: the ability of the imaging system to distinguish two hypotheses in the presence of additive noise.



# Slit Aperture Imaging

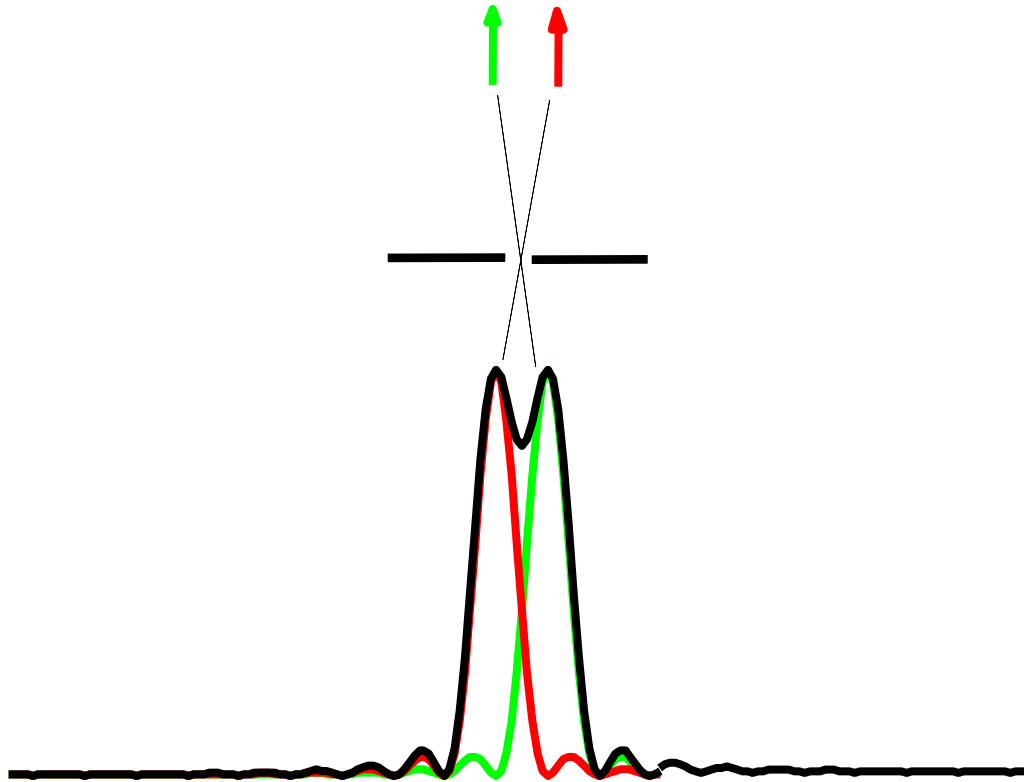
- The image of an ideal point source is captured as a spatially extended pattern (point spread function or PSF)





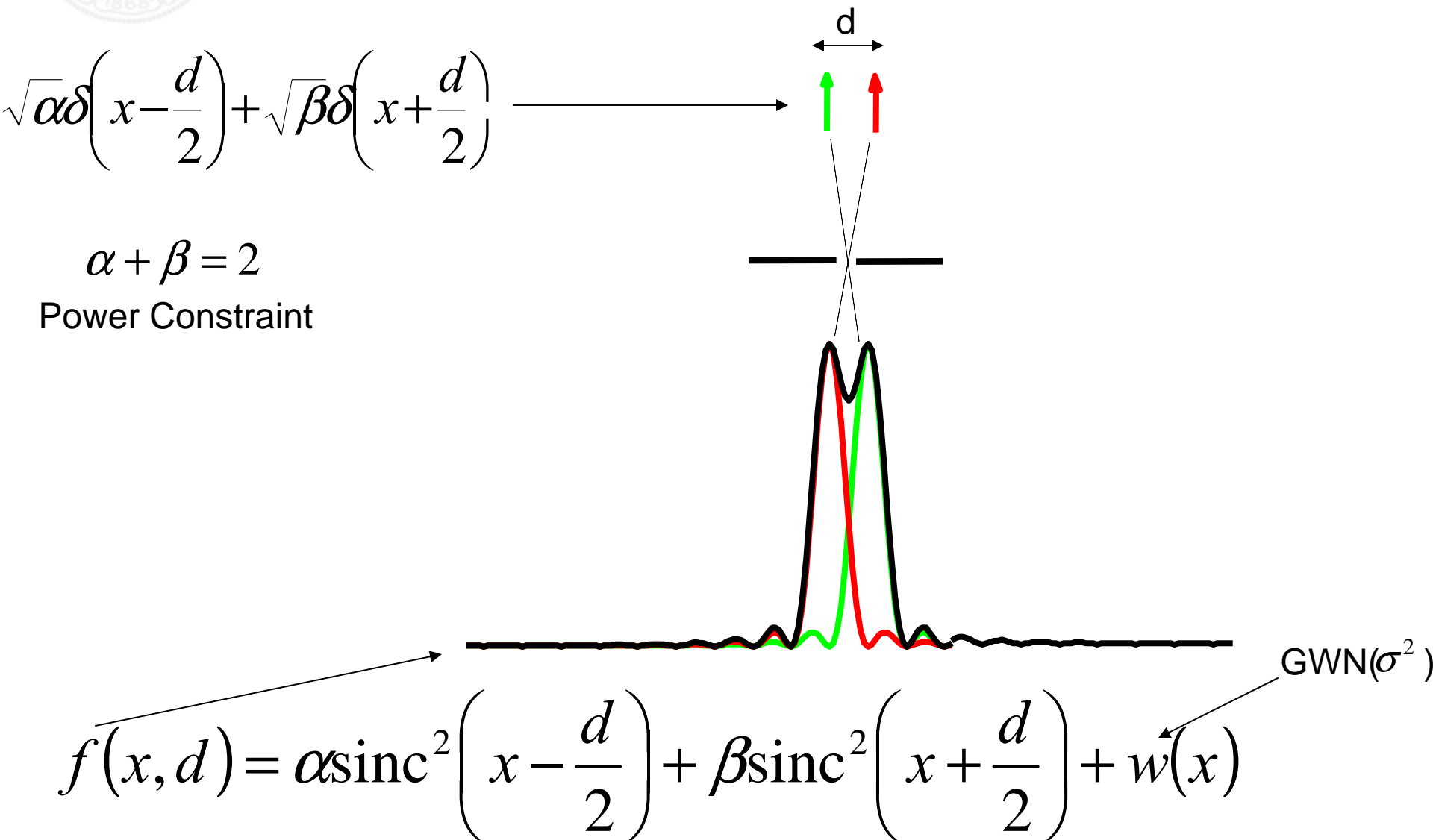
# Incoherent Imaging

- The image of two sources is the incoherent sum of PSFs, representing the effect of the diffraction





# Incoherent Imaging







# The Rayleigh "Limit"

- According to **Rayleigh criterion** these two point sources are not **resolvable** when  $d < 1$ .
  - Rule of thumb, not physical law
- Depending on the signal-to-noise ratio (SNR), resolution beyond the Rayleigh limit is indeed possible. ("**super-resolution**").
  - But this has its limits too.



# A Statistical Definition of Resolution

- The question of presence of one peak ( $d=0$ ) or two peaks ( $0<d<1$ ) can be formulated in statistical terms by defining two hypotheses:

$$\begin{cases} H_0 : d = 0 & \text{one peak} \\ H_1 : d > 0 & \text{two peaks} \end{cases}$$

---

$$\begin{cases} H_0 : f(x) = 2\text{sinc}^2(x) + w(x) \\ H_1 : f(x) = \alpha\text{sinc}^2\left(x - \frac{d}{2}\right) + \beta\text{sinc}^2\left(x + \frac{d}{2}\right) + w(x) \end{cases}$$



# Resolution = Discrimination with Unknown Parameters

- This is a (nonlinear) problem of signal discrimination with unknown parameter.
- The problem of interest revolves around the values of  $d$  in the range  $0 \leq d < 1$ . We can develop locally optimal statistical tests (discriminators).

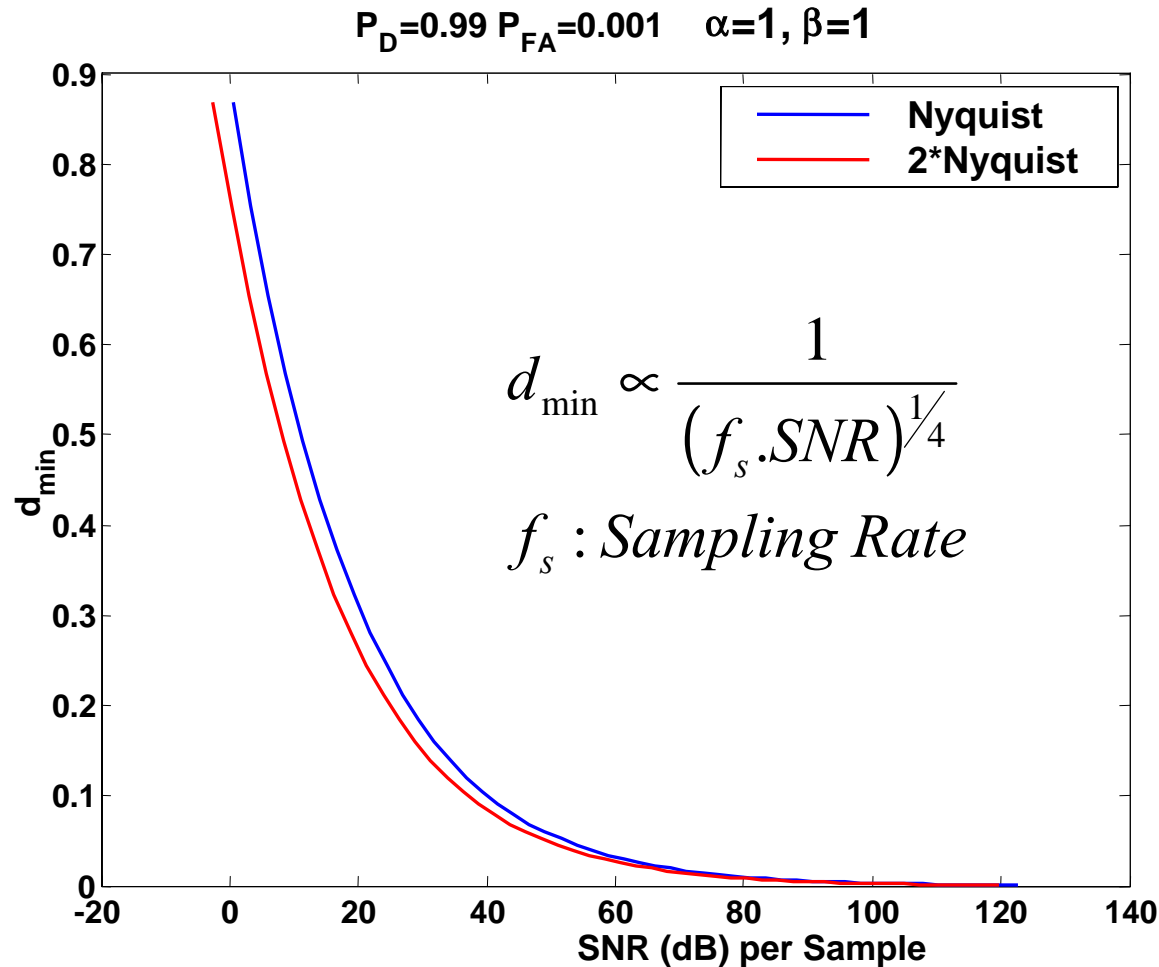


# Definition of Resolution Limit

**Question:** Minimum “d” detectable at very high probability of detection ( $P_d=0.99$ ) and very low false alarm rate ( $P_f=0.001$ ) at a given SNR.

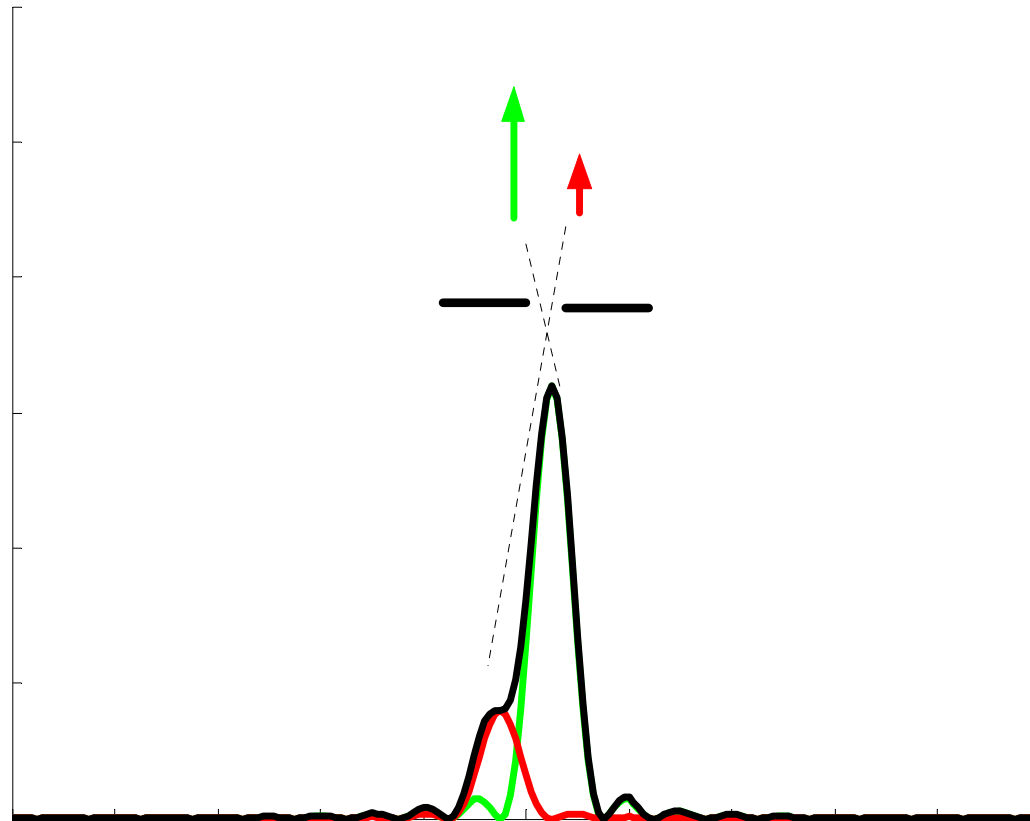


# Minimum Detectable “d” vs. SNR (equal power)



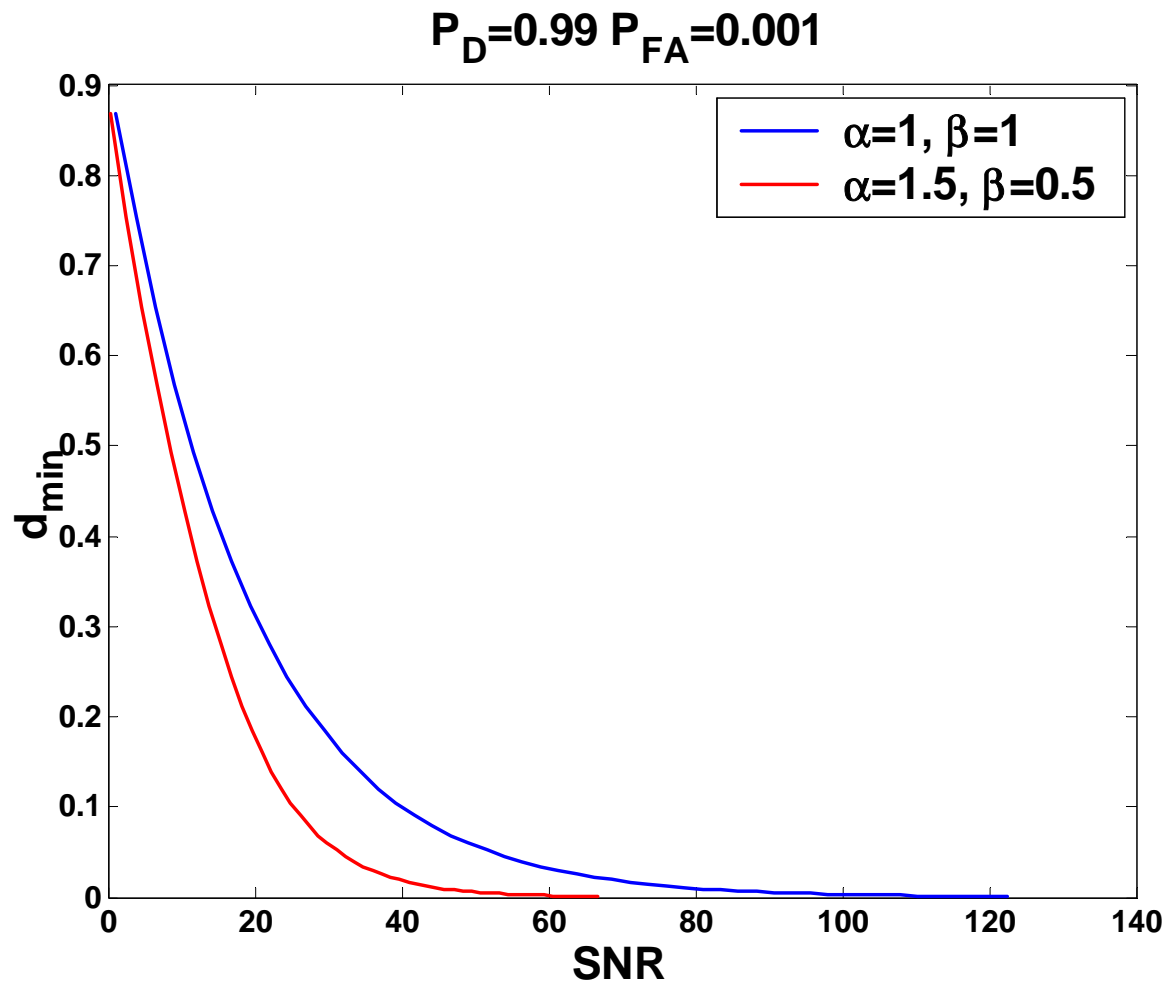


# General Case $\alpha \neq \beta$





# Minimum Detectable “d” vs. SNR (unequal vs. equal power)





# An explanation and some insight

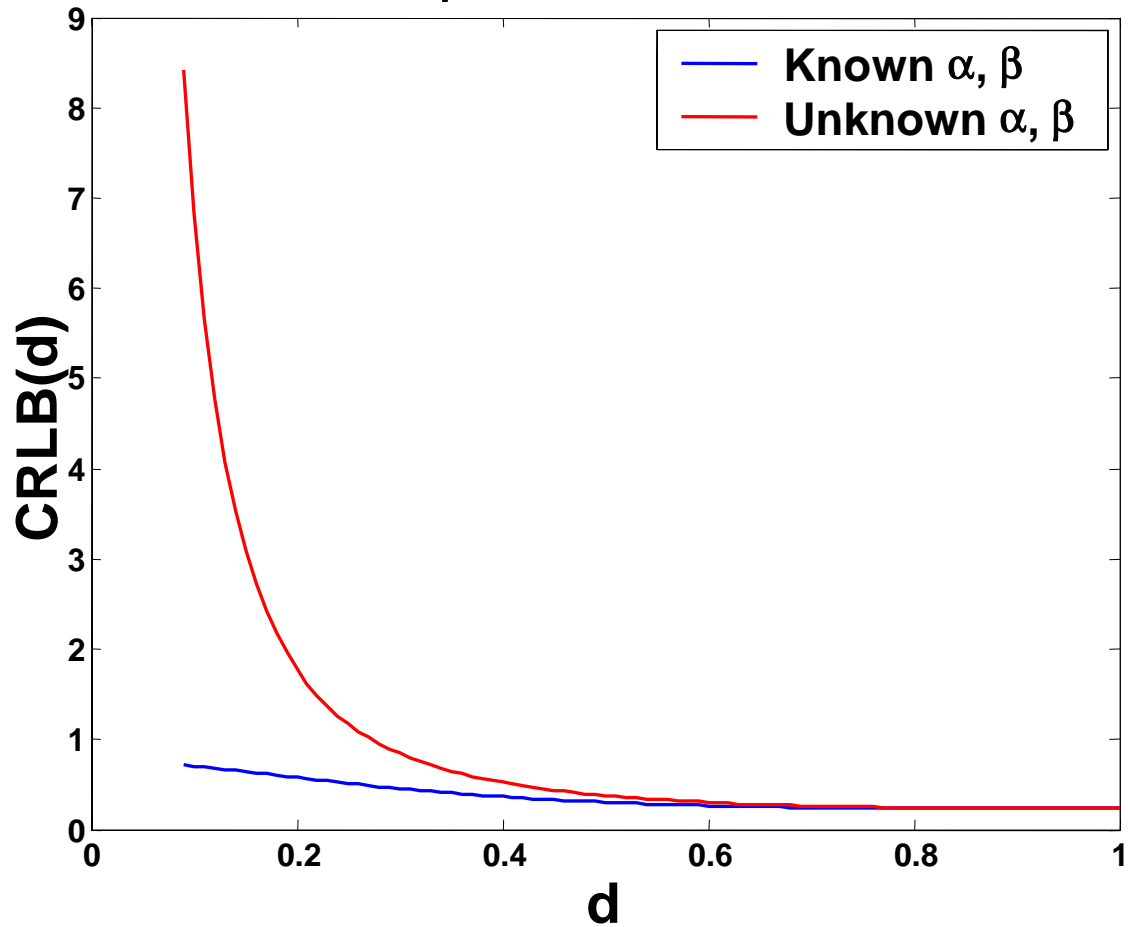
- For a given  $d_{\min}$ , lower SNR is needed for the case  $\beta \neq \alpha$  as compared to the case  $\beta = \alpha$ .
- Does the information content of the estimate of  $d$  behave this way?
  - Yes.





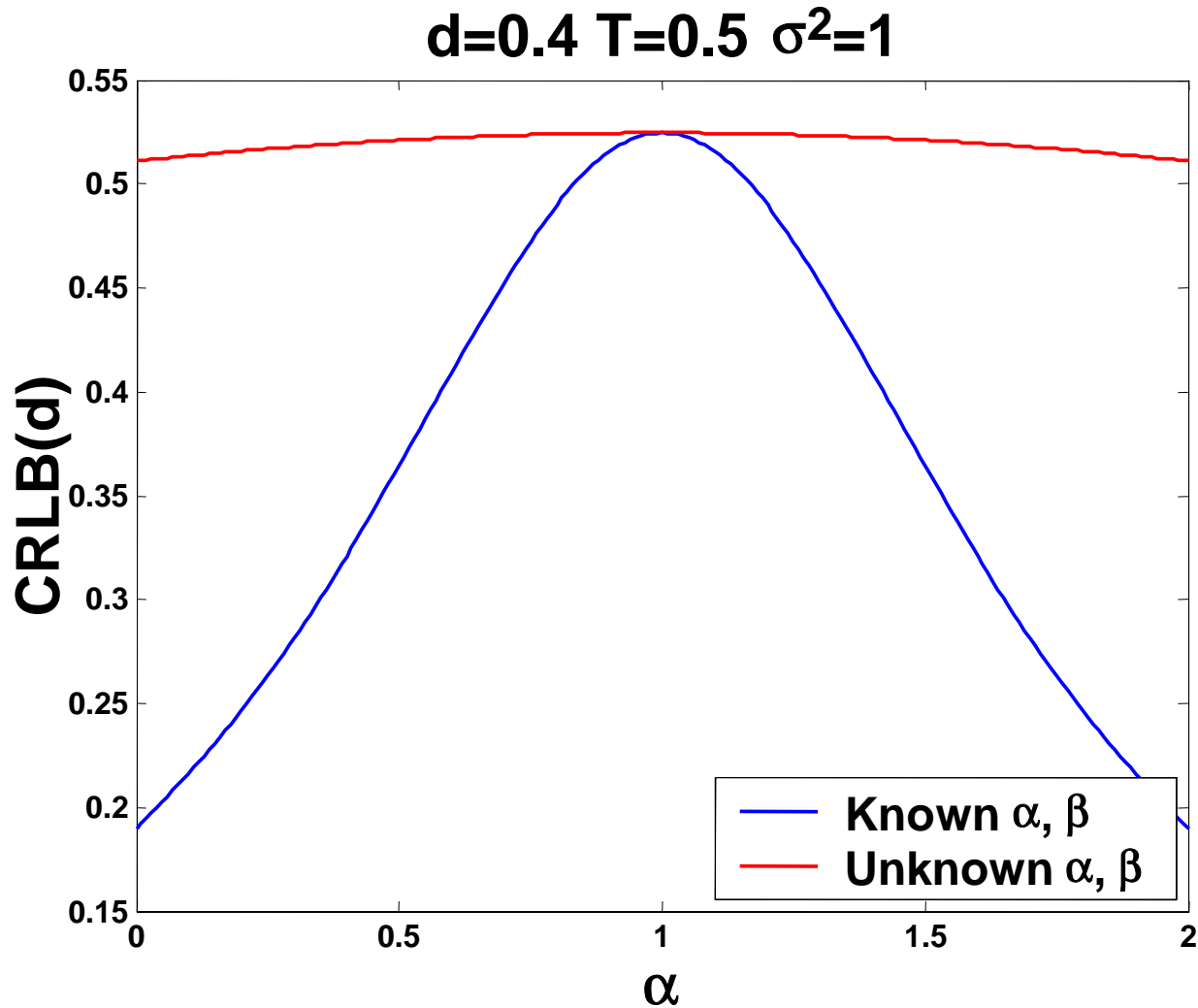
# CRLB Curves for d

$\alpha=1.5$   $\beta=0.5$   $T=0.5$   $\sigma^2=1$





# CRLB Curves for d



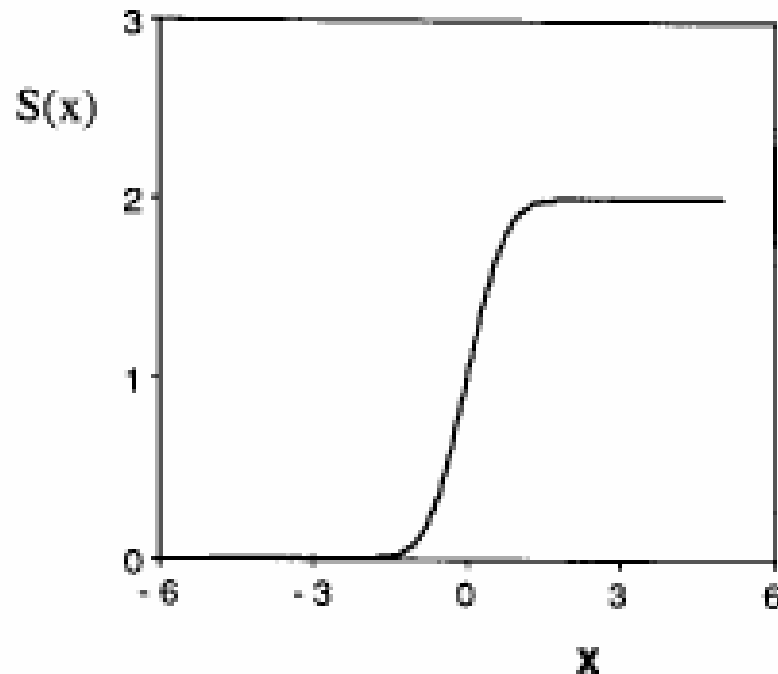


# Topic II: Summary

- ❑ Two factors play key roles in determining resolution beyond the Rayleigh limit
  - ❑ SNR per sample
  - ❑ Sampling Rate
- ❑ We can address the question of resolution in the context of information theory.
- ❑ Extensions to the full resolution enhancement problem, including motion uncertainty, remain to be studied.



# Achievable accuracy in edge localization



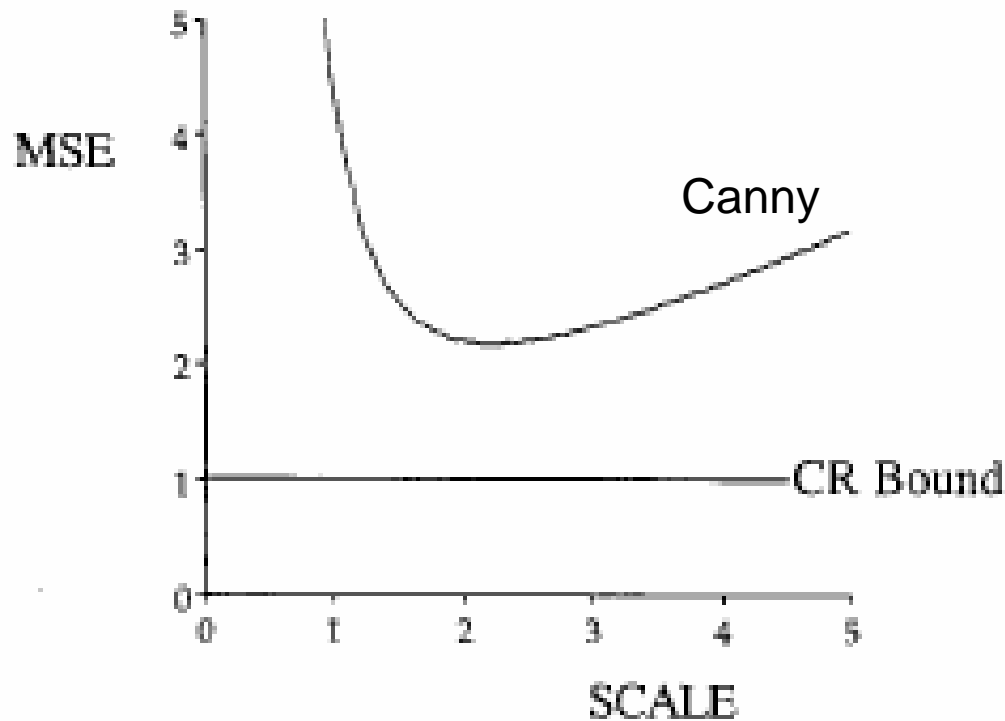
1. Typical edge profile: A plot of  $S(x; \Theta) = I\Phi(\frac{x-\ell}{\sigma_s})$  along the  $x$ -axis with  $I = 2$ ,  $\ell = 0$ , and  $\sigma_s = 0.6$ .

“On achievable accuracy in edge localization” Kakarala and Hero, T. PAMI 14:7, 1992



# Achievable accuracy in edge localization

$$E[(\hat{l} - l)^2] \geq \frac{\sigma^2 \sqrt{\pi} \sigma_s}{I^2 T}$$





# Overall Conclusions

- Fundamental performance limits in imaging are important to our understanding, and can help stop bickering.
- By understanding these limits, we optimize our algorithms accordingly.
- The propagation of these uncertainties from low to high level tasks is a challenge.
- Need to view image processing with increased awareness of notion of *information*.